

## I. Introduction

The aim of this document is to bring you closer to the AML/CFT duties implemented by LYNX.

Investment services provider:<sup>1</sup> LYNX B.V., Branch (*legal form*: a branch of a foreign legal entity), ID no: 02451778, Address: Václavské náměstí 776/10, Nové Město, 110 00 Praha 1, file no. A 76398 at the Municipal Court in Prague (hereinafter „**LYNX**“), regulated by the Czech National Bank, [www.cnb.cz](http://www.cnb.cz)

Parent company: LYNX B.V., having its registered office and principal place of business at Herengracht 527 in (1017 BV) Amsterdam, the Netherlands, listed in the Commercial Register of the Chamber of Commerce under number 34253246, holder of a licence as referred to in Article 2:96 of the Financial Supervision Act on the basis of which it may act as an investment firm and is registered as such in the register kept by the Netherlands Authority for the Financial Markets (hereinafter "AFM", [www.afm.nl](http://www.afm.nl))

## II. Law

- Directive (EU) 2018/1673 of the European Parliament and of the Council of 23 October 2018 on combating money laundering by criminal law, you can find [here](#);
- Act No. 69/2006 Coll., on Carrying Out of International Sanctions as amended;
- Act No. 253/2008 Coll. on selected measures against legitimisation of proceeds of crime and financing of terrorism, as amended (hereinafter “**AML Act**”), and
- further applicable local law AML/CFT you can find e.g. [here](#)

## III. Info about LYNX

LYNX:

- is an organizational unit and is obliged to unreservedly observe all approved regulations and internal regulations of the parent company LYNX B.V. based in the Netherlands; applicable is Dutch law and Czech law.
- is an **obliged AML entity** pursuant to §2/1, point b) point 3 of the AML Act, and therefore must obtain the 2 identification documents, documents/information necessary for the AML evaluation and intermediary of the opening of a securities account; identification, due diligence, record keeping is in line with AML Act. LYNX must act in line with EU law e.g. MiFID II and CZ law ZPKT(Act No. 256/2004 Coll., Capital Market Business Act), and therefore requires you to deliver information/documents necessary for such evaluation. LYNX must perform record keeping of information, documents, and communication (electronic, telephone one);
- has implemented a control function (e.g., Compliance, etc.), in particular Compliance check and perform overseeing with rules (internal and legal);
- protects and processes your personal data in accordance with GDPR, we present the Privacy Statement via web LYNX, you can find <https://www.lynxbroker.cz/ochrana-osobnich-udaju/>

## IV. Final provision

For more information related to legislative obligations imposed on LYNX and the other useful information memorandums, please visit our website [www.lynxbroker.cz/dokumenty](http://www.lynxbroker.cz/dokumenty)

LYNX reserves the right to update this document on a regular basis; printed version is available at the office of our company.

**We ask clients to become familiar with this document before establishing a contractual relationship. Thank you**

<sup>1</sup> LYNX is the so-called Introducing broker and information about our company is listed in the List of Regulated and Registered Financial Market Entities at the Czech National Bank (information is available at [www.cnb.cz](http://www.cnb.cz)). **Clients** (i.e. customers, statutory representatives, UBO, private investors, retail investors and SME investors, consumers, etc.). **Clients, who come from the Czech Republic, Slovakia and Poland are pre-accepted by LYNX according to the applicable law.** LYNX does not perform any asset management for clients; LYNX performs pre-acceptance of clients and intermediate the opening of securities accounts with Interactive Brokers, on whose platform clients trade investment instruments without any LYNX intervention; LYNX does not directly maintain clients' securities accounts.

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